

Trust Administration Fees

Trust Setup Fee:	One-time \$500.00	
Administration Fee:	\$125 per account, per month	(waived if FMV under \$10k, or over \$750k)
Fiduciary & Investment Fee:	*Sliding 1.75% annually on FMV of account	(Includes Trustee, RIA, Management & Custody)
Termination Fee:	The higher of \$300 or 3% FMV	(Assessed on death of beneficiary only)
No Minimum Threshold:	Will accept any size account	

Conservatorship of the Estate & Financial POA Fees

Estate Administration Fee:	Billed at the published hourly rate schedule
Fiduciary Fee:	*Sliding 1.75% annually on FMV of assets under Management

Person-Centered Services

Person-Centered Fee:	Billed at the published hourly rate schedule
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Published Hourly Rate Schedule

Level		EM	SE	DA	TR
		(Emergency)	(Direct Engagement)	(Documentation)	(Travel)
4	Executive & VP Level	\$150.00 / Hr.	\$150.00 / Hr.	\$125.00 / Hr.	\$50.00 / Hr.
3	Administrator & Credentialed	\$125.00 / Hr.	\$125.00 / Hr.	\$105.00 / Hr.	\$50.00 / Hr.
2	Care Manager & Case Aid	\$125.00 / Hr.	\$105.00 / Hr.	\$75.00 / Hr.	\$50.00 / Hr.
1	Office & Support Staff	\$100.00 / Hr.	\$75.00 / Hr.	\$50.00 / Hr.	\$50.00 / Hr.

Terms, Definitions & Conditions

“Trust Administration” is defined as any instance where an Alliance Affiliate Organization is established as Trustee and holds the fiduciary duty of administering a trust governing document for a beneficiary.

“Trust Administration Fee” is a fee, for which beneficiaries receive unlimited distributions, unlimited calls & correspondence, and all processing and handling of beneficiary or interested stakeholder concerns. It also includes internal accounting and reporting, and full account maintenance. The Trust Administration Fee does not include 3rd-party vendor fees, legal fees, or accounting fees charged by 3rd parties, nor does it include expenses incurred by the organization for research, tort response, digitizing of files, travel, or other direct expenses incurred in administration, or other activities uncommon to trust administration. Activities uncommon to trust administration are billed at the published hourly rate schedule.

“Fiduciary & Investment Fee” is an all-inclusive fee that includes Alliance IPS monitoring, Registered Investment Advisor, Asset Custody, Money Management, and all account reconciliations at the investment level. This also includes all balancing, transactions, and wire & bank fees.

“Published Hourly Rate Schedule” is a fee matrix designed according to best-practice standards in Person-Centered service delivery. These fees are only applicable to clients receiving person-centered care services such as Guardianship, Conservatorship, Power of Attorney, Case Management, or trustee activities uncommon to trust administration (rare). By policy, specific tasks are discharged at the lowest possible level within the organization. Invoicing for person-centered services happens monthly, and invoice codes correspond to the hourly rate schedule matrix above. Clients not receiving person-centered services, or trust beneficiaries not engaged in activities uncommon to trust administration will not be assessed an invoice for these fees.

*Fiduciary & Investment Fee Breakdown

The following chart displays the fees and allocations to each entity. The total represents the tiered levels, where the actual (last column) shows how much the fee is when factoring the previous tiers. (Example: If administering a \$1.5M trust, the actual Fiduciary Fee is 1.58% FMV, even though the assets between \$750k and \$1.5M are tiered in at 1.4%.)

FAIR MARKET VALUE	FIDUCIARY	INVESTMENT	TOTAL	ACTUAL, BY TIER
FIRST \$750K	1.00%	0.75%	1.75%	1.75%
\$750K - \$1.5M	0.75%	0.65%	1.40%	1.58%
\$1.5M - \$3M	0.50%	0.55%	1.05%	1.31%
\$3M – 5M	0.35%	0.35%	0.70%	1.07%
ABOVE \$5M	0.25%	0.25%	0.50%	0.78%

The Alliance Affiliate Organizations reserve the right to change or amend the fee schedule at any time, for any reason. When amendments take place, a minimum of a 30-day notice will be given to all current and pending clients and beneficiaries.

Any service not expressly listed in this schedule is billed at the published hourly rate schedule.